ARIA
PRIVATE CLIENTS

STOCKBROKING BROCHURE

Our Telephone Execution-only Dealing Service

PERSONAL AND HIGHLY QUALIFIED

- Direct access to your qualified stockbroker to manage buying and selling of shares on your behalf
- Complimentary subscription to our equity market newsletter 'Market matters'
- Utilise the service within your tax-efficient savings vehicles

WHAT IS THE TELEPHONE EXECUTION-ONLY DEALING SERVICE?

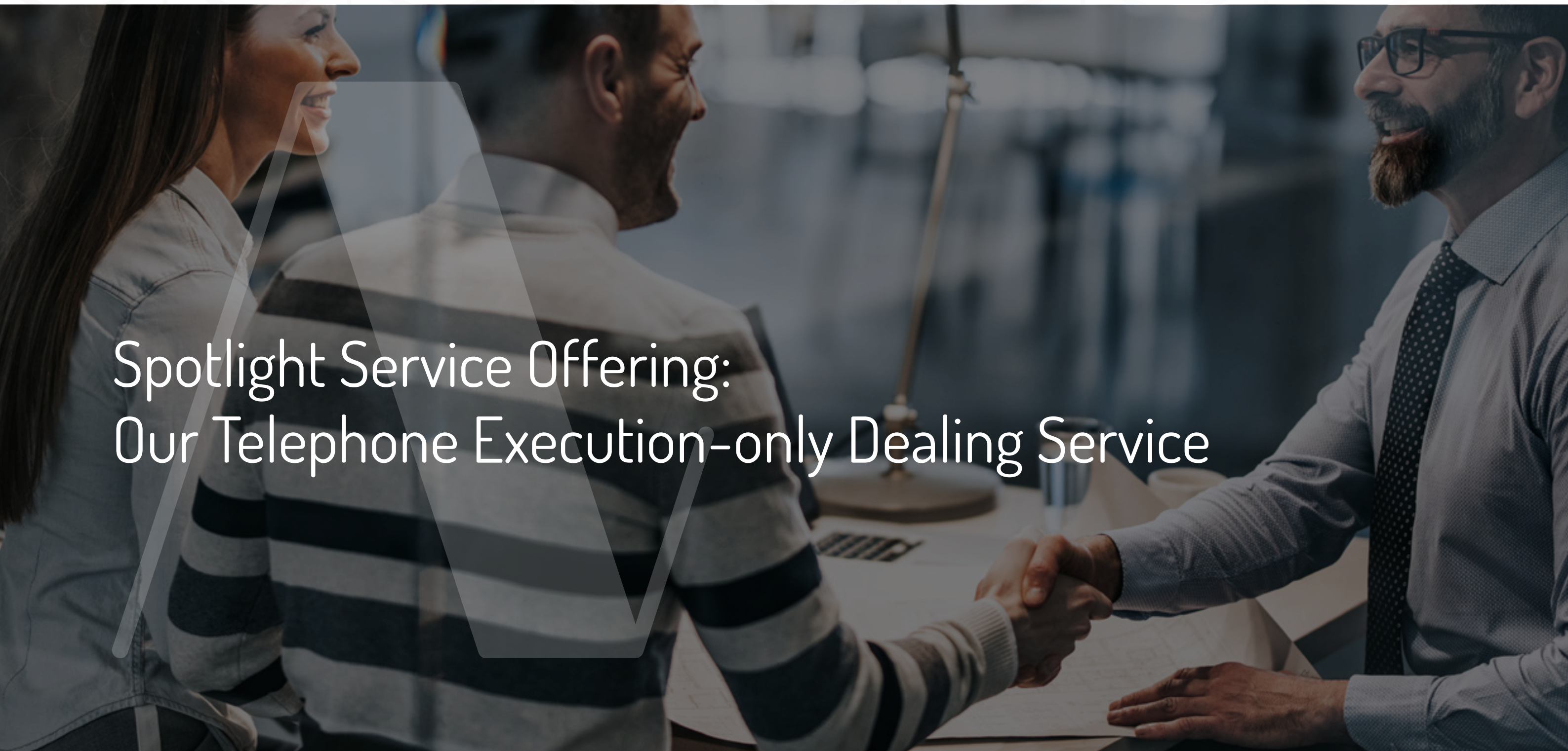
You'recapable of making your own investment decisions, but you'd like to have experienced and qualified professionals execute trades on your behalf.

Whilst we don't provide advice on these investments, we do provide the information and support you need to be fully informed – information such as where markets are trading, the latest share prices or ex-dividend dates for example.

WHAT CAN THE SPOTLIGHT DEALING SERVICE DO FOR ME?

Not everybody is comfortable in executing trades online. We recognise that you may well prefer to call an established wealth manager, with an experienced dealing team to handle the execution and administration of your trading.

The service is very simple to use – having opened an account and deposited funds and/or shares, to execute a deal you simply need to call up and quote your account number Often, the transaction can occur whilst you're on the line. Alternatively, feel free to email your personal stockbroker with the details of the trade.



BENEFITS OF THE SPOTLIGHT STOCKBROKING SERVICE:

- Talk to an experienced dealing team who work on your behalf to achieve the best execution prices
- Fund your account using a debit card or bank transfer
- Your assets are held by one of the world's largest custodians – we will not compromise of the security of your investments
- Gain access to a universe of investments – Irish, UK and international shares – not to mention thousands of mutual funds, bonds, unit trusts and investment trusts
- See your investments in real time in our cutting edge, highly secure online valuation portal.
- Receiving regular valuations and market information and bulletins, as well as information on broader financial planning matters too

HOW TO BEGIN

Initially, you'll need to complete an application form, which provides information on how to transfer existing investments to your ARIA investment account.

ADMINISTRATION

Contract notes are provided electronically, as well as being able to track transactions through your online portal. By using our custody service, you will received a schedule of holdings each year as well as a Consolidated Tax Voucher, which gives details of all the income and dividends you received.

PEACE OF MIND

Where we have arranged custody of your assets, our clients benefit from one of the largest global custodians and all the protections that brings. Custody, or simply the safeguarding of a client's assets, is of paramount importance to any investor

and we categorically will not compromise on the protections applied. Moreover, it means that our reporting and client communications are driven by the very latest technology.

Therefore, we do not hold or administer client assets or monies but outsource to a global custodian. All client money is held in pooled client designated accounts, custodied by SEI, and deposited with a syndicate of global banks to further diversify cash exposure. This means clients' assets remain your property legally and beneficially, whilst recognising client residency and treating income distributions appropriately.

Securities are registered in a designated nominee company and overseas assets are held by global custodians.

SEI was established in 1968 and is among the leaders in the investment services industry, recognised for its history of innovation. SEI manages or administers $970 billion in mutual

funds, pooled or separately managed assets, including $265 billion in assets under management and $419 billion in client assets under administration. (Data correct as of October 2019.) Moreover, we participate in the Financial Services Compensation Scheme (please see fscs.org.uk for further details.

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